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**PATENT**

Attorney Docket No.: UCSD1360-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Salvatore Albani, et al.

Art Unit: 1645

Serial No.: 10/001,938

Examiner

Filed: October 31, 2001

Title: **IMMUNOMODULATORY PEPTIDES DERIVED FROM HEAT SHOCK  
PROTEINS AND USES THEREOF**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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*MAR 25 2002*

**TRANSMITTAL LETTER**

**TECH CENTER 1600/2900**

Sir:

Transmitted herewith for filing in connection with the above-identified patent application, please find the following:

- (1) Request for Corrected Filing Receipt; (2 pgs)
- (2) Copy of Filing Receipt reflecting changes; (2 pgs) and
- (3) Return postcard.

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, *2-22-02*, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

*Yina Noy*  
(Name of Person mailing paper)

*Yina Noy*  
(Signature of Person Mailing Paper)

*2-22-02*  
Date

In re Application of:  
Salvatore Albani, et al.  
Application No.: 10/001,938  
Filed: October 31, 2001  
Page 2

PATENT  
Attorney Docket No.: UCSD1360-1

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: February 22, 2002



Richard J. Imbra  
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**USPTO CUSTOMER NO. 28213**

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San Diego, California 92121-2133



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PATENT  
Attorney Docket No.: UCSD1360-1

#14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Salvatore Albani, et al.

Art Unit: 1645

Serial No.: 10/001,938

Examiner

Filed: October 31, 2001

Title: **IMMUNOMODULATORY PEPTIDES DERIVED FROM HEAT SHOCK PROTEINS AND USES THEREOF**

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REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

Please change the city of inventor Alberto Martini from "Pavia" to **--PIACENZA--**.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 2-22-02, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

<i>Yinca Najar</i> (Name of Person mailing Paper)	<u>2-22-02</u> Date
<i>Yinca Najar</i> (Signature of Person Mailing Paper)	

In re Application of:  
Salvatore Albani, et al.  
Application No.: 10/001,938  
Filed: October 31, 2001  
Page 2

PATENT  
Attorney Docket No.: UCSD1360-1

Applicants accordingly request the correction be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

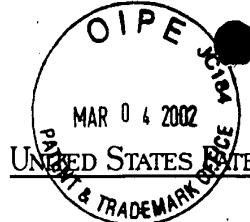
Date: February 22, 2002



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## PATENT DOCKETING

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/001,938	10/31/2001	1645	0.00	UCSD1360-1	14	73	3

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CONFIRMATION NO. 8878  
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\*OC000000007384716\*

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Salvatore Albani, Encinitas, CA;  
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Berent J. Prakken, Utrecht, NETHERLANDS;  
Alberto Martini, Pavia, ITALY;

PIACENZA

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/245,181 11/01/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/28/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Immunomodulatory peptides derived from heat shock proteins and uses thereof

## Preliminary Class

435

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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